



Docket No.: 403.031/10025985
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:
Joan M. SWEENEY

Application No.: 09/966,268

Group Art Unit: 2164

Filed: October 1, 2001

Examiner: Not Yet Assigned

For: A METHOD AND SYSTEM FOR RULES
BASED UNDERWRITING

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JH
2-20-02

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
Washington, DC 20231

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Dear Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned.

A copy of each reference on PTO/SB/08 is attached.

A concise explanation of relevance of the items listed on form PTO/SB/08 is:

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

Complete if Known

Application Number	09/966,268
Filing Date	October 1, 2001
First Named Inventor	Joan M. SWEENEY
Group Art Unit	2164
Examiner Name	Not Yet Assigned
Attorney Docket Number	403.031/10025985

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	5,611,052		Dykstra et al.		
	A2	5,699,527		Davidson		
	A3	5,797,133		Jones et al.		
	A4	5,870,721		Norris		
	A5	5,878,403		DeFrancesco et al.		
	A6	5,930,776		Dykstra et al.		
	A7	5,940,812		Tengel et al.		
	A8	5,995,947		Fraser et al.		
	A9	6,029,149		Dykstra et al.		

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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Date Considered

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